

# LASER DEPOSITION CLADDING ON-LINE INSPECTION USING 3-D SCANNER

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## ABSTRACT

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Laser deposition directly deposits metal cladding to fabricate and repair components. In order to finish the fabrication or repair, 3-D shape of the deposition needs to be inspected, and thus it can be determined if it has sufficient cladding to fabricate a part after deposition process. In the present hybrid system in the Laser Aided Manufacturing Lab (LAMP) at the University of Missouri - Rolla, a CMM system is used to do the inspection. A CMM requires point-by-point contact, which is time consuming and difficult to plan for an irregular deposition geometry. Also, the CMM is a separate device, which requires removal of the part from the hybrid system, which can induce fixture errors. The 3-D scanner is a non-contact tool to measure the 3-D shape of laser deposition cladding which is fast and accurate. In this paper, A prototype non-contact 3-D scanner approach has been implemented to inspect the free-form and complex parts built by laser deposition. Registration of the measured model and 3-D CAD model allows the comparison between the two models. It enables us to determine if the deposition is sufficient before machining.

### *Keywords*

Laser deposition, 3-D scanning, free form, part comparison, inspection

## I. INTRODUCTION

Rapid prototype technologies are gaining more and more interests in industries for the reasons that they can fabricate the components directly from 3-D computer-aided-design (CAD) model and save time and cost as well. Laser deposition is a rapid prototype technology that can directly build solid metal parts from metallic powder, such as Tool steel, Titanium, etc. One of the advantages of laser deposition is that it can deposit free-form surfaces and complex geometries. In the Laser Aided Manufacturing Processes (LAMP) lab at the University of Missouri - Rolla, a hybrid system was developed to deposit metallic powder to build or repair a part. After deposition, the hybrid system machines it to fabricate the part.

Examination of the deposition cladding indicates that the interdependence of laser power, powder feed rate into the melt pool, relative traverse speed of the part and retained heat effects cause difficulty in precisely controlling the deposition cladding profile [1]. So it is important to inspect the deposition cladding. To verify the acceptance of a deposited component before it is machined by CNC, one needs to compare the measured data with the intended CAD design model to determine if it is sufficient to be manufactured into a component afterwards.

In the present hybrid system, a Coordinate Measuring Machine (CMM) system is used to do inspection. Despite its great accuracy, CMMs have some drawbacks, for example, CMMs need point by point contact inspection, they are slow and the probe size limits the smallest area that can be inspected, which makes it difficult to inspect the complex geometry or free form

surface [2]. In this paper, a 3-D scanner is used to inspect the surface of the laser cladding. It is fast and has sufficient accuracy for on-line inspection.

The main idea behind the research in this paper is to align the measured surface with the 3-D CAD model and then compare the two top surfaces. Before comparing measurement data to the 3-D CAD model, it is necessary to align the model with the scan data. This process is called registration, which is to transform the Scanner Coordinate System (SCS) and the Design Coordinate System (WCS) into one coordinate system. Then the algorithm creates parallel planes to slice both the 3-D CAD model and scanned model in the x and y directions. The intersections of 3-D CAD and scanned model are calculated. The comparison of the 3-D CAD model with the measurement model is transferred to compare these points and it will show the height difference and then determine if the measured component is sufficient or not at a (x, y) position. Any absent volume can be identified and re-deposited later.

## II. Equipment overview

In the Laser Aided Manufacturing Processes (LAMP) lab at the University of Missouri – Rolla, a Layered Manufacturing process was developed which use a laser to create a molten pool and then feeds the metal powder into the pool to create a deposition cladding layer by layer. The system in the LAMP lab consists of a 1.0 KW Nuvonyx diode laser (808 nm wavelength) (Fig. 1) with integrated 5-axis FADAL CNC (Fig. 2), Bay State thermal spray powder feeder, on line control system, etc.



Figure 1. 1.0 KW Nuvonyx Diode Laser (808 nm wavelength)



Figure 2. Integrated 5-axis FADAL CNC and Laser Deposition Head

A Nextengine 3-D scanner (Fig. 3) is used to inspect the deposition cladding. It takes about 2 minutes per scan of each facet with the dimensional accuracy of 0.005 inch in macro mode and 0.015 inch in wide mode [3]. In order to construct a complete surface model, several facets need to be scanned and registered together manually. It takes much more time to do several scans and the registration of images. In fact, the deposition cladding is always deposited on the top and one scan from the top can acquire the surface and substrate information for the subsequent registration and comparison for most parts.

### III. RELATED WORK

#### 3.1. Registration

Traditionally, localization<sup>1</sup> is achieved by presenting the part at a desired position and orientation, using special tools, fixtures or some device especially designed for the part. It is usually costly, time and efforts are needed to design the special fixture for a new part. Recently, localization has been implemented by aligning the parts' SCS to the DCS by using some measured data [2].



Figure 3. A Nextengine 3-D scanner with 0.005 inch accuracy in macro mode and 0.015 inch accuracy in wide mode

The 3-2-1 approach, which measure datums to establish a reference frame for the part, is conventionally used in contact inspection. Three points are measured to establish the first plane, then two points are measured from second plane perpendicular to the first. At last, one point is measured from the last datum perpendicular to those two [2].

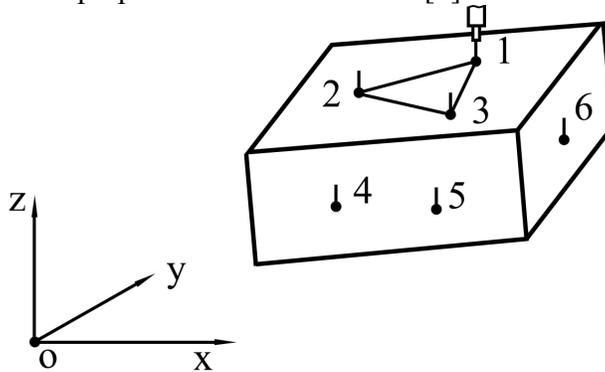


Figure 4. Traditional 3-2-1 approach for registration to establish three perpendicular reference frames

The prevalent approach in registration is the Iterative Closest Point (ICP) method. ICP tries to find the minimum distance between two surfaces [2]. It is effective to do point to model

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<sup>1</sup> In this paper, the term of “registration” is used instead of “localization” in references.

matching for complex and free-form surface inspection. However, in laser deposition, in order to get the profile information of deposition, not only the individual surface or profile information, but the relative location information to reference datum is required. For example, in Fig. 5, “a” shows the result of registration of two top surfaces by ICP without the reference plane. “b” shows the ideal registration for the laser deposition, which registered the substrate of the part to the corresponding bottom of design model. Obviously in “a”, ICP is not effective to determine if the cladding is eligible for fabricating a part only by the registering the top surface. In fact the reference plane and side walls need to be registered and inspected.

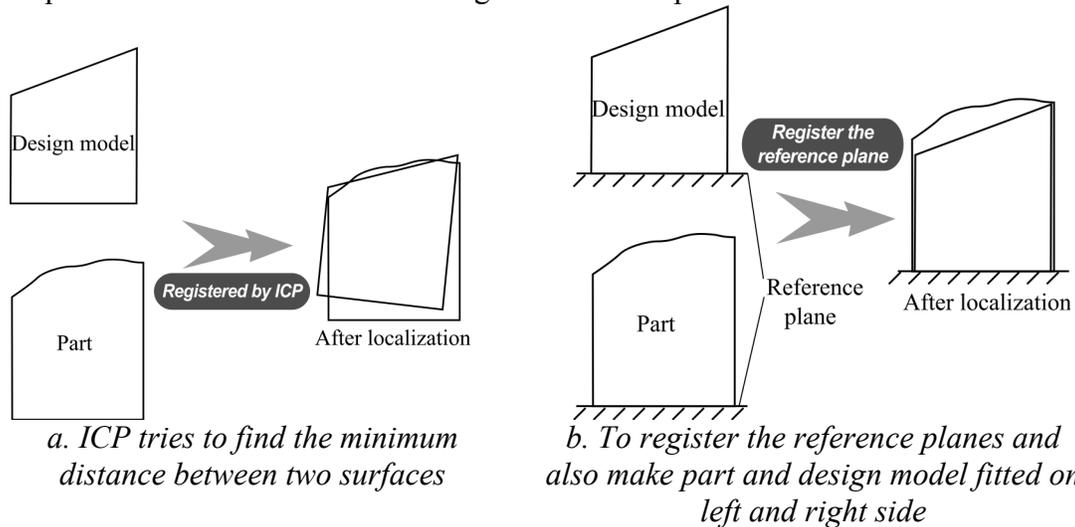


Figure 5. Registration by ICP vs. desired registration for laser deposition

### 3.2 Surface description methods for registration and comparison

Surface description is a basic task and all subsequent operations are based on it. Earlier works describes the parts by certain primitives such as point, line and plane, and/or polyhedral approximations. Later research works applied higher order forms of surface representation. Many approach use parametric description of the surfaces, such as parametric splines, Coons, Bezier, B-spline and NURBS surface models. With the development of non-contact measurement methods, which normally obtain the dense data volume and always with arbitrary topology, results in manual interaction due to the topology issue and/or accuracy degrading in establishing the surface model. Some recent research used the surface description methods, such as triangular meshes, other than traditional approaches such as B-spline, NURBS or others.

## IV. REGISTRATION OF MEASUREMENT COORDINATE SYSTEM AND DESIGN COORDINATE SYSTEM

### 4.1. Coordinate systems for laser deposition inspection

There are four coordinate systems involved in laser deposition process: Design Coordinate System (DCS), Machine Coordinate System (MCS), Workpiece Coordinate System (WCS), and Scanner Coordinate System (SCS). In Fig. 6, 3-D model is designed and used to create CNC codes for deposition in DCS. It is also used to do comparison after deposition. In Fig. 7, a part is deposited on substrate on CNC machine in MCS and scanned in SCS. MCS defines machining head and laser head to determine the traverse track. WCS is detached on

Workpiece and is on the substrate. The zero points of  $x$ ,  $y$ , and  $z$  axis of MCS is set on substrate. The surface of substrate is adjusted to be level and the axes of WCS are set to be parallel to those of MCS, so the deposition traverse track is parallel to the axes of WCS and the deposition layers are parallel to the substrate. In order to compare the design model in Fig. 6 with the Workpiece in Fig. 7, these two need to be registered. Three reference points A, B and C are created on the substrate by laser flash. B is origin of MCS and AB is on the  $x$  axis of MCS. These reference points have corresponding points A', B', and C' on the design model, B' is origin point of DCS and A'B' is on the  $x$  axis of DCS. The reference points are salient points and easily identified in SCS and the scanned model is transferred to MCS through the points A, B, and C in 4.2. These two models can be compared.

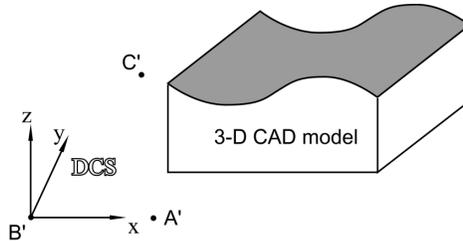


Figure 6. The 3-D CAD model created in Design Coordinate System (DCS)

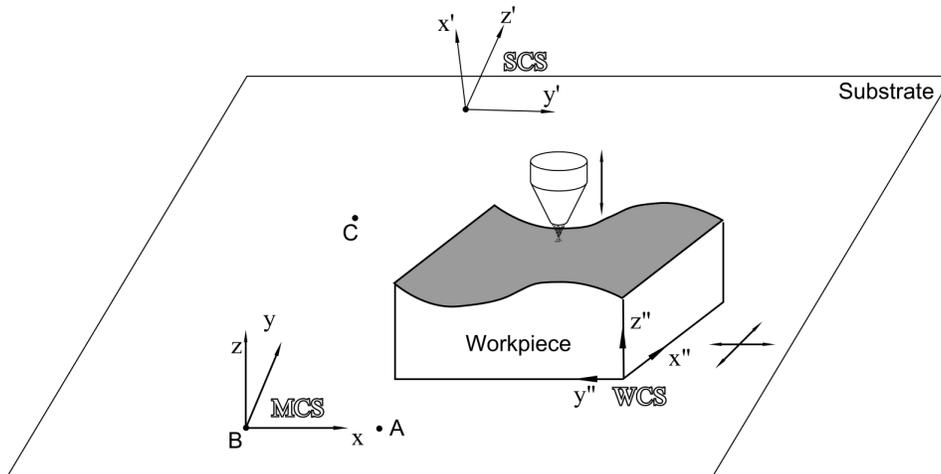


Figure 7. Workpiece is deposited in Machine Coordinate System (MCS) and scanned in Scanner Coordinate System (SCS)

#### 4.2. Construct a coordinate system via three points

Locating a part's DCS and SCS is called localization, which refers to the determination of position and orientation of the DCS of a part with respect to the SCS. In this project, it is equal to register a part's SCS and MCS. Mathematically, it is to find a transformation matrix between the two coordinate frames [2].

The registration is a transformation between two coordinate systems, for example: transferring SCS to MCS, which is to find the matrix that transfer coordinates from  $o'x'y'z'$  to  $oxyz$  (Fig. 8). Suppose in SCS, the coordinate of origin O is  $(p'_1, p'_2, p'_3)$ ,  $u_1, u_2, u_3$  denote  $x, y, z$  axis and  $v_1, v_2, v_3$  denote  $x', y', z'$  axis, then in SCS:

$$\begin{cases} u_1 = \gamma_{11} v_1 + \gamma_{12} v_2 + \gamma_{13} v_3 \\ u_2 = \gamma_{21} v_1 + \gamma_{22} v_2 + \gamma_{23} v_3 \\ u_3 = \gamma_{31} v_1 + \gamma_{32} v_2 + \gamma_{33} v_3 \end{cases} \quad (1)$$

$$\text{If } M = \begin{bmatrix} \gamma_{11} & \gamma_{12} & \gamma_{13} \\ \gamma_{21} & \gamma_{22} & \gamma_{23} \\ \gamma_{31} & \gamma_{32} & \gamma_{33} \end{bmatrix} \quad (2)$$

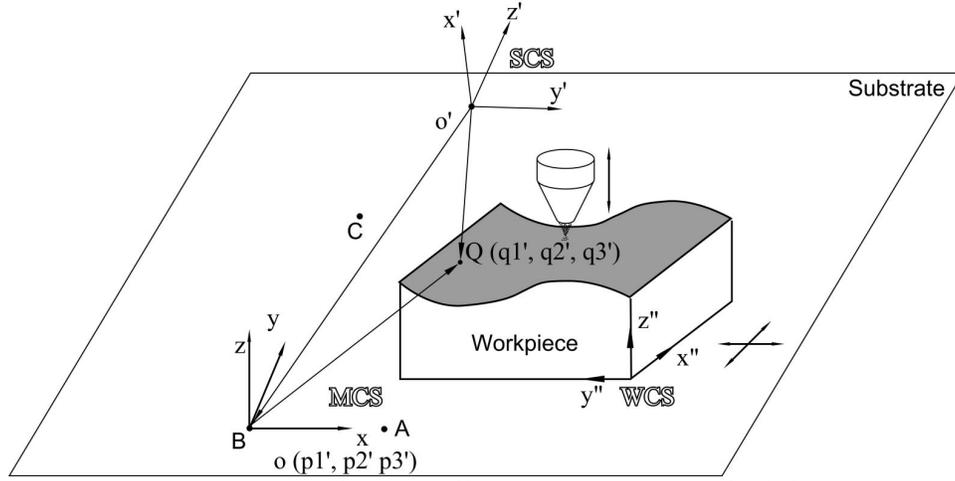


Figure 8. Mathematically, registration is to find the transformation matrix between SCS and MCS.

(1) is denoted as:

$$\begin{Bmatrix} u_1 \\ u_2 \\ u_3 \end{Bmatrix} = M \begin{Bmatrix} v_1 \\ v_2 \\ v_3 \end{Bmatrix} \quad (3)$$

An arbitrary point  $Q (q'_1, q'_2, q'_3)$  in SCS denotes:

$$\overline{O'Q} = [q'_1 \quad q'_2 \quad q'_3] \begin{Bmatrix} v_1 \\ v_2 \\ v_3 \end{Bmatrix} = \overline{O'O} + \overline{OQ} \quad (4)$$

$$\overline{O'O} = [p'_1 \quad p'_2 \quad p'_3] \begin{Bmatrix} v_1 \\ v_2 \\ v_3 \end{Bmatrix} \quad (5)$$

So

$$\overline{OQ} = ([q'_1 \quad q'_2 \quad q'_3] - [p'_1 \quad p'_2 \quad p'_3]) \begin{Bmatrix} v_1 \\ v_2 \\ v_3 \end{Bmatrix} \quad (6)$$

According to (3):

$$\overrightarrow{OQ} = ([q'_1 \quad q'_2 \quad q'_3] - [p'_1 \quad p'_2 \quad p'_3])M^{-1} \begin{Bmatrix} u_1 \\ u_2 \\ u_3 \end{Bmatrix} \quad (7)$$

So in SCS, an arbitrary point Q ( $q'_1, q'_2, q'_3$ ) can be transferred into MCS and coordinates are:

$$([q'_1 \quad q'_2 \quad q'_3] - [p'_1 \quad p'_2 \quad p'_3])M^{-1} \quad (8)$$

$M^{-1}$  is the transform matrix and ( $p'_1, p'_2, p'_3$ ) is coordinates of origin O in the new coordinate system MCS.

It is assumed that it is possible to have some salient points on the part or make some by marks or other methods, and these points have corresponding points on the CAD model. After scanning the surface, these points are carefully picked and the coordinates are collected on screen. Then the coordinate system can be built by these points. Registration can have two steps: find the point to point corresponding relationship between the measurement surface and design surface, and then find the transformation matrix between these two surfaces to construct a common coordinate system. Only three points are needed to build a coordinate system and get the transform matrix. In practical applications, the points on the measurement and 3-D model surfaces are not strictly corresponding and it needs adjustment to make them fit, for example, to make the sum of squares of distance to be the least.

In this paper, a new three-point approach is introduced. For the reason that the 3-D scanner can capture points on the surface precisely when zoomed in by the program, if there are marks or salient points on the surface, the coordinate of points can be obtained and by zoomed in, the error of on-screen point selection is about  $\pm 0.002$  inch. One of the three points is the origin, the second point and origin can determine x axis and z axis is parallel to the normal of plane of A, B, C, these two axis can determine y axis exclusively. In Fig. 9, points A, B and C are both on substrate. Point B is set to be origin in MCS and vector AB is on X axis. The coordinate system can be determined in (9). The three points are on the reference plane in order to inspect the surface relative to the reference plane. For example, the substrate is appropriate to be the reference plane for the reason that the cladding deposited from it. In this paper, a substrate is selected to be the reference plane.  $M^{-1}$  can be got from (9), and the coordinate of Q in MCS can be got from (8).

$$\begin{Bmatrix} u_1 \\ u_2 \\ u_3 \end{Bmatrix} = M \begin{Bmatrix} v_1 \\ v_2 \\ v_3 \end{Bmatrix} = \begin{Bmatrix} \frac{\overrightarrow{BA}}{|\overrightarrow{BA}|} \\ \frac{\overrightarrow{BA} \times \overrightarrow{BC}}{|\overrightarrow{BA} \times \overrightarrow{BC}|} \times \frac{\overrightarrow{BA}}{|\overrightarrow{BA}|} \\ \frac{\overrightarrow{BA} \times \overrightarrow{BC}}{|\overrightarrow{BA} \times \overrightarrow{BC}|} \end{Bmatrix} \quad (9)$$

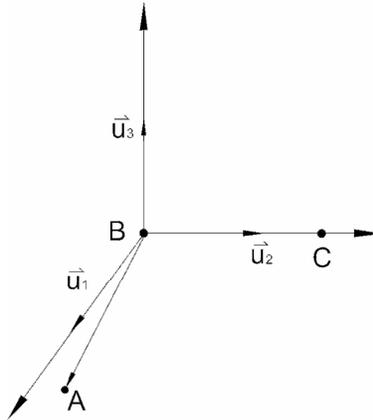


Figure 9. Three points approach to establish a coordinate system by calculating the cross product of vectors

### 4.3. Error estimate

In Fig. 10, the coordinate systems xyz are created by A, B, C. The center of circle is the point in MCS,  $r$  is the deviation of these points captured in SCS. For the reason of the 3 points that are captured on screen could have errors, it leads to create deviation of SCS from MCS and coordinates of surface have some errors after transformation from SCS to MCS.

The deviation of A, B, and C on the plane have no effect on “z” coordinates of Q, but “x” and “y” coordinate of Q can be affected. In Fig. 11, suppose the deviation of A, B, and C is “ $r$ ”, the points captured could be in the circle with the radius of “ $r$ ”. Deviation of “x” coordinate can be within  $\pm r$ . Deviation of “y” is depend on the coordinate of “y”, besides the errors created by the position of B, the error of point A create the angle of “ $\theta$ ”, it causes the “x” axis departure an angle “ $\theta$ ”. So the extra error created by the angle “ $\theta$ ” is scale to the “x” coordinate of Q and is equal to. When do experiments, in order to reduce the error created from captured points, the efforts are to reduce the distance of reference points A and B from point C and increase the distance of A from B, so the error of transformation is less than  $2r$ .

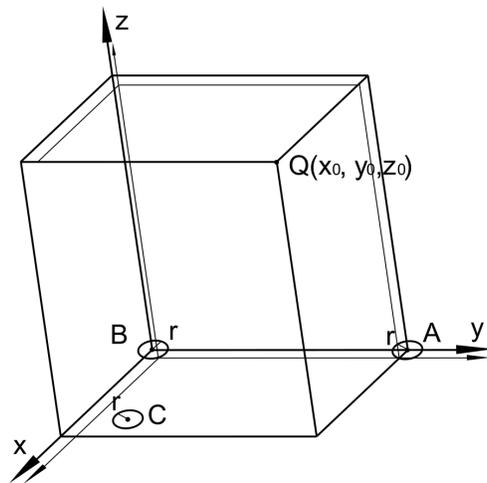


Figure 10. Errors of transformation from SCS to MCS, which is resulted from deviation of point selections

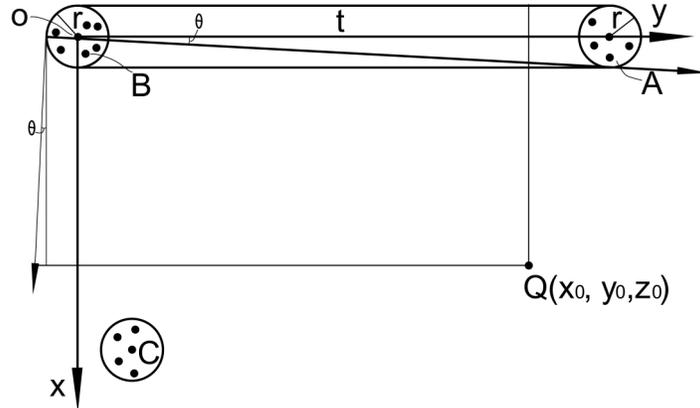


Figure 11. Error analysis of  $x$  and  $y$  coordinates for points A, B, and C on  $xoy$  plane

In Fig. 10, the coordinate systems  $xyz$  are created by points A, B, and C. The center of circle is the point in MCS and  $r$  is the deviation of these points captured in SCS. For the reason of the 3 points that are captured on screen could have errors, it leads to create deviation of SCS from MCS and coordinates of surface have some errors in SCS from MCS. In order to estimate the error  $r$ , six sample points are captured at each point of A, B in table 1. So  $r=0.002$ , it is higher than the accuracy of 3-D scanner, the reason is that when measure the point on the screen, it is interpolated on the triangular mesh so it is possible that it has higher resolution than that of the 3-D scanner. The nominal distance of AB is 0.75 inch and the measurement of AB is  $0.743\pm 0.004$  inch, the error is at the same level as that of 3-D scanner.

Table 1. Coordinates of points A and B

Point	A		B	
	Mean	$\sigma$ (STD)	Mean	$\sigma$ (STD)
x	$1.357\pm 0.002$	$1.4\times 10^{-3}$	$1.359\pm 0.001$	$1.5\times 10^{-3}$
y	$-1.944\pm 0.001$	$1.2\times 10^{-3}$	$-2.687\pm 0.001$	$9\times 10^{-4}$
z	$0.164\pm 0.001$	$1.6\times 10^{-5}$	$0.162\pm 0.001$	$1.5\times 10^{-5}$

If there is no error from the point selections, the accuracy of the coordinates after transformation should keep the same as the accuracy of 3-D scanner, which is 0.005 inch. The errors of the point selections will cause the coordinates changed within  $\pm 0.004$ . The overall errors together with that of 3-D scanner, which is  $\pm 0.0025$  inch, are the sums of the two:  $\pm 0.0065$  or 0.013 inch.

## V. COMPARISON BETWEEN THE MEASUREMENT SURFACE AND THE 3-D CAD MODEL

### 5.1. Surface description

Stereo Lithography or Standard Triangulation Language (STL) is widely used in rapid prototyping, STL model is a surface model based on triangular meshes. It makes the geometry description homogenous and no matter how complex the shape is [4]. The drawbacks of STL data format are the limited accuracy, the high data storage space needed and only the surface model left without metadata. Despite the drawbacks, the importance of STL in CAD/CAM is still

increasing. The STL data format saves the 3-D model with triangular meshes for describing 3-D surfaces. Each mesh contains a triangular data, which has its own 3 vertices coordinates and the normal vector of the triangle. In order to process the vertices data and reduce the processing time, all the triangular data are sorted and all the description letters are removed [5].

There are at least two reasons to use the STL data format within this domain of comparing surfaces for complex shape. First, both 3-D scanner application software and the 3-D modeling tools support STL model. It allows the comparison finished in one coordinate system and data format. Second, a homogeneous STL model is fit for analysis complex (freeform) surface since it hides the complex modeling history. Freeform shapes are created from advanced operation in CAD, such as intersections, surface modeling operations, etc.

## 5.2. Algorithm for the comparison

By the registration process, 3-D model and measurement model are registered and the height of the top surface is along Z axis. In order to compare deposition surface with 3-D CAD model surface, the algorithm firstly turns the 3-D surface to 2D profiles by using a series of parallel planes slicing the model, and the line segments both on the plane and the surfaces are identified, then use another group of parallel lines to slice the line segments, intersections will be calculated (Fig. 12). The distance of the intersections on two surfaces determines the relationship of these two surfaces at this point (x, y). The slicing planes are parallel to ZOY and ZOX.

The line segments both on planes and surfaces are calculated through triangles on the surfaces (Fig. 13). The algorithm scans the data and finds the triangles that intersect the plane and then calculates the intersection. In Fig. 13, the triangle on the surface has the minimum  $x_{min}=x_1$  and maximum  $x_{max}=x_3$ , if x coordinate of plane ( $x=x_0$ ) is between the  $x_{min}$  and  $x_{max}$ , the plane intersect the triangle and the two intersection points are calculated as below (10):

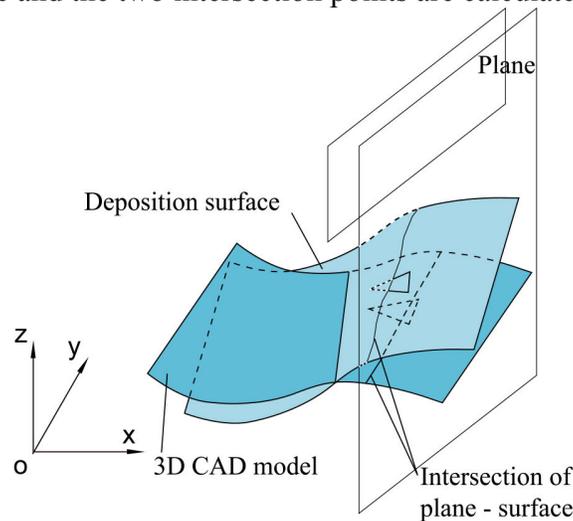


Figure 12. Comparison of two surfaces by using a group of parallel planes to slice

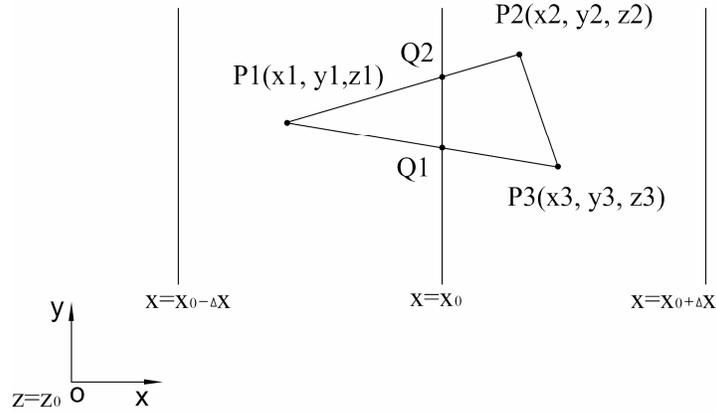


Figure 13. Intersections of plane and triangle calculated by interpolation

$$\begin{cases} Q_1 = P_1 + \frac{(P_2 - P_1)(X_0 - X_1)}{(X_2 - X_1)} \\ Q_2 = P_1 + \frac{(P_3 - P_1)(X_0 - X_1)}{(X_3 - X_1)} \end{cases} \quad (10)$$

One triangle intersects the plane  $x = x_0$  at a line segment, and all the line segments compose the profile that the plane intersects the surface. A group of parallel planes, which are vertical to the previous ones, are created to slice the profiles in Fig. 14. S and T are the intersection of line segments with parallel lines, which indicate the relative height  $z$  and distance of these two surfaces at this point:  $x = x_0, y = y_0$ . S can be got:

$$S = Q_1 + \frac{(Q_2 - Q_1)(y_0 - y_1)}{(y_2 - y_1)},$$

and it is the same as T. In order to get higher resolution of the result, more planes are needed to slice the model and get more points on the surfaces. Calculation procedure for registration and comparison is as below in Fig. 15.

The algorithm proposed in this paper has been implemented on a PC and mainly written in Matlab.

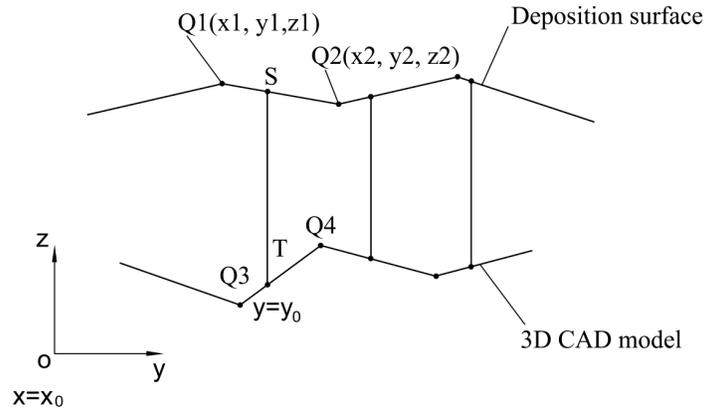


Figure 14. Intersections of planes and line segments calculated by linear interpolation

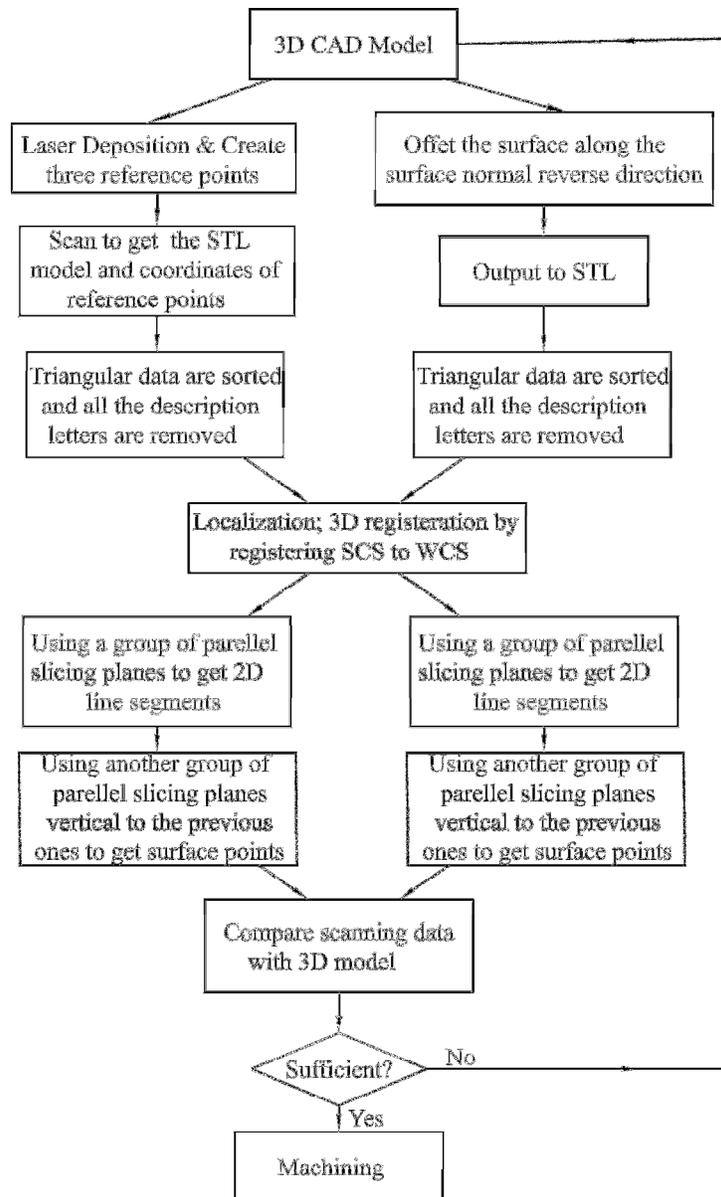


Figure 15. The procedure for registration and comparison

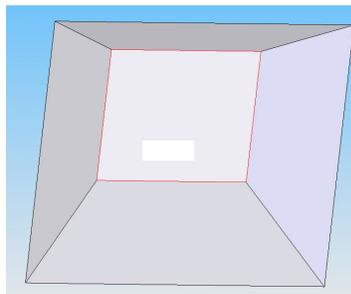


Figure 16. The 3-D CAD model created in SolidWorks

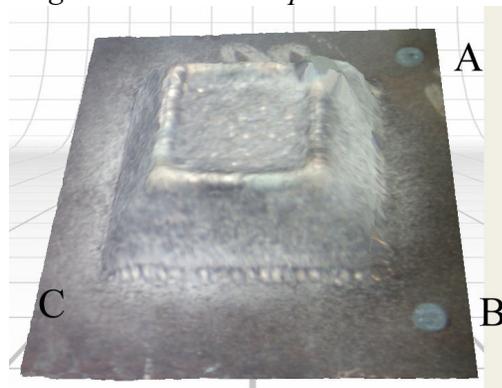
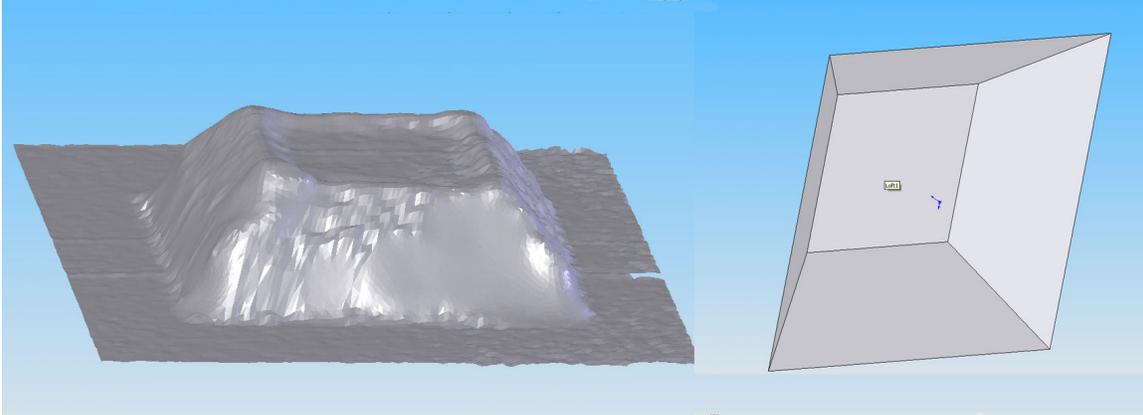


Figure 17. The Deposition part with reference points A, B, and C



*Figure 18. Scanned model and design model, which are separated and in different orientation before registration, can not be directly compared*

## **VII. SUMMARY AND CONCLUSION**

This paper describes how to compare the laser deposition surface and its target 3-D model using a 3-D scanner to do a no-contact top surface measurement.

Based on the approach of registration and comparison algorithm, measurement data is aligned with 3-D model, which needs three reference points, and the comparison between top surfaces of deposition cladding and 3-D model is conducted. It determines if the deposition cladding is sufficient for fabricating a part according to the comparison before machine the part. The overall accuracy of this method is 0.013 inch and it fulfills the requirement of laser deposition. The advantage of this method is that it is fast and convenient to inspect the deposition cladding. The disadvantage is that it can not perform real-time inspection for environmental condition. Also if the surface has steep curvature, a complete scanning model will be needed to perform the comparison.

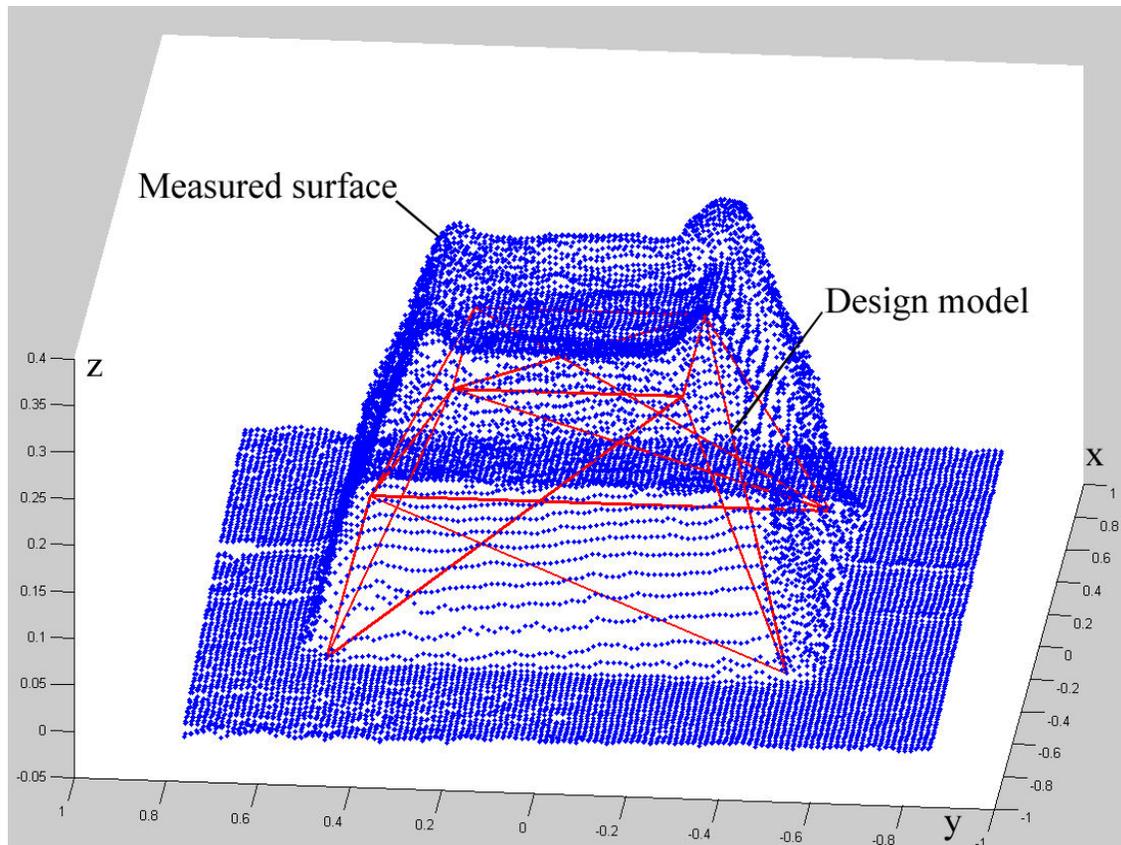


Figure 19. The scanned model and design model are registered and comparable

## ACKNOWLEDGEMENT

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