High Resolution Topology Design with Iso-XFEM

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Abstract

Topology optimization, as a challenging aspect of structural optimization, has gained interest in recent years as a method of designing structures to take advantage of the design freedoms of advanced manufacturing techniques such as Additive Manufacturing (AM). The majority of topology optimization algorithms are integrated with the Finite Element Method (FEM) to enable the analysis of structures with complex geometry during the optimization process. However, due to the finite element-based nature of the subsequent topology optimized solutions, the design boundaries are dependent on the finite element mesh used and tend not to have the desired smoothness for direct fabrication. The topology optimized solutions may, therefore, need smoothing, reanalysing and shape optimization before they become manufacturable. In this study, an Extended Finite Element Method (X-FEM) is employed and integrated with an evolutionary structural optimization algorithm, aiming to avoid/decrease the post-processing required from topology optimization design to manufacture. Rather than using finite elements for boundary representation, an isoline/isosurface approach is used to capture the design boundary during the optimization process. The comparison of the X-FEM-based solutions with the FE-based ones for the topology optimization of test cases representing real industrial components indicates significant improvements in the solutions' boundary representation as well as their structural performance.

Introduction

Additive manufacturing (AM) has grown over the last 30 years to be used in various application areas. It contrasts with the conventional fabrication processes which are usually subtractive or formative, in that the part is built up layer-by-layer. This layer manufacturing approach allows producing parts of significantly greater complexity compared with traditional processes and this increased complexity generally does not have a significant effect on the cost of the process. Not only this provides the designer with significantly greater design freedom, it also enables the built part to be closer to the optimum design than is possible with traditional processes (Brackett et al 2011).

The level of freedom offered by AM processes necessitates the development of new design tools since the current design tools were developed prior to AM and are therefore better suited to traditional manufacturing methods. Topology optimization, however, offers great potential for AM, since it is capable of achieving solutions with great complexity. Topology optimization is a structural optimization technique, mainly developed in the last three decades, which aims to find the best possible material distribution and connectivity for a structure within a specified design domain under a set of loads and boundary conditions. It involves finding the number of members required in the design and the manner in which these members are

connected. There have been a significant number of papers dedicated to this subject mainly based on homogenisation (Bendsøe and Kikuchi, 1988), Solid Isotropic Material with Penalization (SIMP) (Bendsøe, 1989; Zhou and Rozvany, 1991), Evolutionary Structural Optimization (ESO) (Xie and Steven 1993, 1997), level set method (Wang et al., 2003; Allaire et al, 2004) and genetic algorithms (Jakiela et al. 2000; Ryoo and Hajela 2004). Most of the proposed topology optimization algorithms have been applied to simple, standard problems, such as Michelle-type structures and cantilever beams with rectangular domains, there has been less attention on applying these algorithms to 3D real-life structures and loading scenarios. In some cases the mathematical complexity or the size of the FE design domain doesn't allow the algorithm to be properly implemented. OptiStruct (Altair Engineering Inc.) is an example of software designed to enable the SIMP method of topology optimization to be applied to real components. Other software such as Nastran (MSC Software) and Abaqus FEA (Dassault Systèmes) also have options to apply similar density-based approaches to find the solution to topology optimization problems. Although the topology optimization modules of these software applications are being widely used for research and engineering purposes, a drawback of the density-based approaches (and many other element-based approaches) is that they cannot provide a clear and smooth representation of the design boundaries in converged topologies. This issue brings difficulties in interpreting the solutions, combining them with CAD and manufacturing the topologies. Therefore the solutions usually need post-processing, reanalysing and shape optimization before manufacture, which is costly and time consuming.

A possible alternative approach to the conventional element-based methods in topology optimization is the use of the eXtended Finite Element Method (X-FEM) combined with an implicit representation of the design boundaries (such as those in level set and isoline/isosurface approaches). X-FEM extends the classical finite element approach by adding special shape functions which can represent a discontinuity inside finite elements. Therefore in structural optimization applications, X-FEM can be used where the boundary of the design crosses finite elements, without the need to remesh the boundaries. In the isoline approach (Maute and Ramm, 1995; Lee et al, 2007; Victoria et al, 2009) the boundary of a design is represented by isolines of a selected measure of structural performance behaviour, such as von Mises stress or strain energy density. In a previous study (Abdi et al 2014a), an evolutionary based optimization approach combined with X-FEM and isoline boundary representation was used for the topology optimization of 2D continuum domains. The numerical comparison of the converged solutions from Iso-XFEM approach with those obtained using bi-directional evolutionary structural optimization (BESO) showed the efficiency of the algorithm. The aim of this paper is to extend the Iso-XFEM method into 3D to address the application of X-FEM and isolines/isosurfaces of structural performance to the topology optimization of real-life structures. To meet this aim, 2D and 3D test cases including an industrial arm are considered and a comparison of the solutions with BESO (as a discrete element-based topology optimization method) solutions is presented.

Isoline and Isosurface Boundary Representation

In general, isoline/isosurfaces are the lines/surfaces that represent the points of a constant value, named the isovalue, in a 2D/3D space. The isoline approach is an implicit method of defining the boundaries of a design in a 2D fixed grid design domain. In this approach the boundaries are defined using the contours of a higher dimension (3D) of structural performance,

such as Strain Energy Density (SED) or von Mises stress, obtained from finite element analysis of the design space. The isosurface approach is the extension of the isoline method to the representation of the boundaries of a 3D design using contours of a 4D structural performance. In structural optimization applications (Victoria et al 2009, 2010; Abdi et al 2014a, 2014b) the boundaries are defined by the intersection of the structural performance (SP) distribution with a minimum level of performance (MLP), which is typically increasing during the optimization process. Figure 1 shows a 2D fixed grid design domain discretized with a 30x30 mesh, where the intersection of the SED distribution as a structural performance criteria with a minimum level of SED gives the design boundary.

The regions of the design domain in which the structural performance is lower than the MLP is defined as the void part of the domain and those which have a higher value than the MLP form the solid part of the design domain. The relative performance, α , is defined as:

$$\alpha = SP - MLP \tag{1}$$

Following this, the design domain can be partitioned into void phase, boundary and solid phase, with respect to the values of relative performance, as

$$\alpha(x): \begin{cases} > 0 & solid phase (D_S) \\ = 0 & boundary (\partial D_S) \\ < 0 & void phase (D_V) \end{cases}$$
(2)

Figure 2 shows how the design space, D, from figure 1 is partitioned into D_S , ∂D_S and D_V using the relative performance function $\alpha(x)$, distributed over the design space.



Figure 1. Design boundary represented by intersection of a SP with the MLP.



Figure 2. A 2D design domain represented by its relative structural performance. (a) Relative performance model. (b) Design domain.

By implementing the above isoline/isosurface approach, the design boundary is superimposed on the fixed grid finite elements, making three groups of elements in the FE design space: solid elements, void elements, and boundary elements (the elements which lie on the boundary) as demonstrated in figure 3. The contribution of solid and void elements to the FE framework could simply be considered using a soft-kill scheme (Huang and Xie, 2009) in which instead of deleting the elements in the void phase, they are assigned a weak material property. However, in order to accurately represent the design boundary whilst avoiding expensive remeshing operations, an X-FEM approach can be employed as discussed in the next section.





X-FEM for Structural Optimization

X-FEM (Belytschko and Black, 1999; Moës et al, 1999) is a generalized approach for the classical finite element method which can represent discontinuities such as cracks, holes, inclusions, and fluid/structure interaction, inside finite elements without the need to remesh the internal boundaries. In the X-FEM, the classical finite element (FE) shape functions are extended by adding discontinuous shape functions to the displacement field in order to enrich the FE approximation space near the discontinuity. X-FEM has been applied to various kinds of discontinuity by defining an enrichment function appropriate to the nature of the discontinuity. In the case of structural optimization problems, X-FEM can be implemented to represent the

evolving boundary of a design on a fixed grid FE mesh with no need for remeshing. In this case the elements which lie on the design boundary experience a material-void discontinuity. In this case, the X-FEM scheme for modelling holes and inclusions proposed by Sukumar et al (2001) can be utilized to represent the FE approximation space near the discontinuity:

$$u(x) = \sum_{i} N_i(x) H(x) u_i \tag{3}$$

where N_i are the classical FE shape functions, u_i are the nodal degrees of freedom and H(x) is a Heaviside function with the following properties

$$H(x) = \begin{cases} 1 & if \ x \in D_s \\ 0 & if \ x \notin D_s \end{cases}$$
(4)

implying that the value of the Heaviside function switches from 1 to 0 in the void parts of the design domain. In order to realize the above X-FEM scheme, integration over the element domains are only performed on the solid sub-domain of the boundary elements while the fully solid/void elements are treated using the conventional FEM. Following this, the stiffness matrix of a 2D element can be defined by

$$K_e = \int_{\Omega} B^T C H(x) B t d\Omega$$
⁽⁵⁾

where Ω is the element domain, *B* is the displacement differentiation matrix, *C* is the elasticity matrix for the solid material and *t* is the thickness of the element.

Various partitioning schemes can be implemented to realize the above X-FEM integration scheme, dependent to the element type that is used in the FE model of the domain of interest. In the case of 2D quad elements, we can partition the solid sub-domain of the elements into sub-triangles and use the Gauss quadrature method to numerically calculate the integral for each triangle. The element stiffness matrix can then be obtained by summing the contributions of each sub-triangle (figure 4).



Figure 4. Quadrilateral boundary elements represented by the 2D X-FEM scheme.



Figure 5. Decomposing a boundary hexahedral element: (a) a boundary hexahedral element where the whole element domain is partitioned into 24 sub-tetrahedra; (b) a solid subtetrahedron; (c) a boundary sub-tetrahedron where the solid sub-domain is further partitioned into sub-tetrahedrons.

In the case of 3D Hex elements, the solid sub-domain of the boundary elements are partitioned into sub-tetrahedrons and the integration is numerically performed over the solid tetrahedrons. In our implementation, the hexahedral boundary element is initially partitioned into 24 sub-tetrahedra by dividing each of the 6 surfaces of the element into 4 triangles and connecting the edges of the triangles to the centroid of the hexahedron. The void tetrahedra are then removed from the integration domain. The bi-material (solid/void) tetrahedra are again partitioned into smaller sub-tetrahedra and the void tetrahedra removed from the integration domain. Integration is eventually performed over the remaining solid tetrahedra (figure 5).

Evolutionary based Optimization Algorithm using Isolines/Isosurfaces

The optimization algorithm developed in this study is evolutionary based, i.e. based on the simple assumption that the optimized solution can be achieved by gradually removing the inefficient material from the design domain. Examples of evolutionary based optimization methods include ESO in which inefficient elements are gradually removed throughout the optimization process, and BESO in which the elements are added and removed simultaneously throughout the process. However, unlike ESO and BESO in which the material removal/addition is carried out at an elemental level, in this approach the optimization operates at a global level of structural performance by the use of isoline/isosurface design representation. An appropriate performance criterion is used to characterize the efficiency of material usage in the design domain. Material is then removed from low relative performance regions (x; $\alpha(x) < 0$) and redistributed to the high relative performance regions (x; $\alpha(x) > 0$). As shown in equation 1, the relative performance can be calculated by subtracting a minimum level of performance (MLP) from the structural performance (SP). However, in order to stabilize the evolutionary

process, as suggested by Huang and Xie (2007), historical information of the structural performance can be used by averaging the current relative performance with that from the previous iteration:



Figure 6. Flowchart of the optimization method.

$$\alpha_i = \frac{\alpha_i^{it} + \alpha_i^{it-1}}{2} \tag{6}$$

where *i* denotes the node number and *it* is the current iteration number. The minimum level of performance (MLP) which is used in equation 1 to find the relative performance, is usually increasing during the evolutionary process and can be calculated from:

$$MLP_{it} = RF_{it} \times SP_{max}/VF_{it} \tag{7}$$

where SP_{max} is the maximum performance over the design domain and RF_{it} is the redistribution factor for the current iteration. VF_{it} is the current volume fraction of the solid material to the whole domain, and is used in the above equation to accelerate the material removal process at lower volume fractions. With the current redistribution factor, the iterative process of the extended finite element analysis and material removal/redistribution takes place until the percentage change in volume fraction is less than a minimum value ΔV , which means that a steady state is almost reached. Then, the redistribution factor is increased by adding an evolutionary rate, *ER*:

$$RF_{it} = RF_{it-1} + ER. ag{8}$$

With the new redistribution factor, the extended finite element analysis and material removal/redistribution is repeated until a new steady state is reached. The evolutionary process continues until a desired optimum, such as a final volume fraction (VF_f) is reached. Figure 6 summarizes the optimization algorithm used in this study.

Test Cases

In order to illustrate the efficiency of the proposed Iso-XFEM algorithm, 2D and 3D test cases are presented in this section. A Matlab code was developed to apply the Iso-XFEM algorithm. The design domains of the test cases were more complex than the test cases used in the previous study (Abdi et al, 2013), and the mesh was not necessarily structured in these cases. Also, in the 3D test case, a non-design domain was considered which is usually the case in the topology optimization of real structures. Therefore, due to the complexity of the initial geometries, the FE models of the structures were created in a FE package and then imported into Matlab in terms of Nodes and Elements matrices. All the results were generated using a desktop computer with an Intel Xeon 2 processor of 2.4 GHz speed and 24 GB RAM.



Figure 7. Design domain, FE mesh, loads and boundary conditions used for the C clip.





Figure 8. Evolution of the topology of the C clip.

Test case 1: a two dimensional C clip

In this example, the Iso-XFEM approach was used to optimize a C clip with the loads and boundary conditions shown in figure 7. Node A located on the middle of the left side of the clip is fixed in the x direction and node B on the right is fixed in both x and y directions. Here, the optimization problem was defined as minimizing the strain energy of the clip subject to a final volume fraction, $VF_f = 0.4$, and the strain energy density of the design domain is used as the structural performance criterion. The material used had a Young's modulus of 210 GPa and a Poisson's ratio of 0.3. The optimization parameters used for this test case were ER = 0.001 and $\Delta V = 0.005$. Figure 8 shows the resulting topologies for a range of volume fractions. It can be seen that the process of material removal was carried out by creating a number of holes in the low SED regions of the design space, followed by the merging and enlarging of holes until the desired volume fraction and convergence conditions were achieved. The triangles near the boundary represent the X-FEM integration domains and are not elements. Therefore as no element is added or removed to/from the design domain, the number of degrees of freedom during the optimization process hasn't been changed. However, the boundary of the converged solution (figure 9) is smoothly defined by implementing the isoline and X-FEM approaches. The optimization converged after 120 evolutionary iterations which took 170 seconds.



Figure 9. Converged solution for VF = 0.4 at iteration 120.

Test case 2: an industrial arm

In order to implement the Iso-XFEM topology optimization method on a practical problem, the industrial arm shown in figure 10 which was previously studied using BESO (Aremu et al, 2013), was considered. A load of 667.23 N was uniformly distributed on the lower edge of surface A, and all degrees of freedom on surface B were fixed, forming a cantilever beam. Two levels of difficulty existed in this problem compared to the 2D/3D cantilever problems often used in the literature; an increasing geometrical complexity and existence of non-design domain. The grey cylindrical regions in figure 10 were set as non-design domain and the rest of the structure was the design domain. The objective was to minimize the total strain energy subject to a final volume fraction of 15% of the design domain. The material properties of the arm were a Young's modulus E = 74 GPa and Poisson's ratio v = 0.33. Two experiments employing different mesh sizes were considered for this test case. In each of the element-based BESO method. This was to enable the effectiveness and efficiency of the two approaches to be compared.

Experiment 1

The whole domain was meshed using approximately 22000 hexahedral elements. The optimization parameters were ER = 0.002 and $\Delta V = 0.005$. The resulting topologies obtained using the Iso-XFEM method for a range of volume fractions up to 0.15 are shown in figure 11. Employing the same mesh used for the previous experiment, the topology optimization problem

of the arm was solved using a soft-kill BESO code based on Huang and Xie's study (2007). The BESO parameters used were an evolution rate, ER = 0.02, and sensitivity filter radius of 1.2 times the average element size. The converged solutions of the two approaches are shown in figure 12.



Figure 10. Design domain and non-design domain of the industrial arm.



Figure 11. Evolution of the topology of the industrial arm.



Figure 12. Converged solutions of experiment 1: (a) Iso-XFEM solution and (b) BESO solution for VF = 0.15. Surface roughness was measured in regions Z1 and Z2.



Figure 13. Converged solutions in experiment 2: (a) Iso-XFEM solution and (b) BESO solution for VF = 0.15.

Experiment 2

In this experiment, the whole domain of the industrial arm was discretised using approximately 32000 Hex elements. The topology optimization of the arm was considered using both the BESO and Iso-XFEM optimization approaches with the same optimization parameters as were used in experiment 1. Figure 13 shows the converged solutions obtained using the two different approaches. It can be seen that the converged solutions in experiment 2 have similar topologies to those seen in experiment 1 (figure 12), apart from the fact that topologies with more members have been realized by using the finer mesh in experiment 2 (table 1).

<u>Discussion</u>

Considering experiment 1, it can be seen that both Iso-X-FEM and BESO approaches have successfully obtained converged solutions for the topology optimization problem of the industrial arm as an example of a real structure. It can be seen that the overall topologies of the converged solutions look similar; however the Iso- XFEM solution has a much smoother surface than the BESO solution. Measuring the surface roughness of the solutions is not very easy as it varies depending on the geometrical features forming the topology. Assuming that spherical and cylindrical features have higher values of surface roughness than flat features, two regions Z1 (hemispherical) and Z2 (cylindrical) in figure 12 for experiment 1 (and similar regions in figure 13 for experiment 2) were considered and their arithmetic mean surface roughness, R_a, was calculated, as shown in table 1. It can be seen that in both regions, much higher values of surface roughness are obtained with the BESO solution. The jagged edges of the coarse finite elements on the boundary of the BESO solution (figure 12b) has resulted in an unfeasible design boundary which needs further interpretation of the topology and post processing such as smoothing, reanalysing and shape optimization before manufacture. Comparing the strain energies of the BESO and Iso-XFEM solutions in table 1, it can be seen that the Iso-XFEM approach has resulted in a performance for the converged solution approximately 18% higher than the BESO solution when compared with the same mesh size. It can also be seen that the BESO solution has fewer members (less complexity) than the Iso-XFEM solution (tale 1). A reason for this could be the sensitivity filtering scheme (Sigmond and Peterson, 1998) that is employed with the BESO method (and many other element-based methods). This scheme is used to eliminate checkerboard pattern problems, however this filtration scheme is not required for the proposed Iso-XFEM method, although it can be used if solutions with less complexity are desired.

Comparing the time cost of the two optimization approaches in experiment 1 (table 1), one may notice that the Iso-XFEM optimization approach operates slower than the BESO method for the same initial mesh as it takes more time to calculate the properties of boundary elements using the X-FEM integration scheme. However the time spent to solve the finite element linear system of equations will be approximately the same, as the same number of degrees of freedom exists in the both methods. Therefore it is expected that by increasing the mesh density which results in increasing the number of degrees of freedom, the percentage difference between the solution time of the two approaches decreases. This issue was investigated by performing experiment 2 in which the design domain is discretised with a finer mesh than experiment 1.

		1		
		Exp. I	Exp. II	Δ %
Number of elements		22000	32000	45%
Time cost of the	Iso-XFEM	155170	258340	66%
first 100 iterations (s)	BESO	78636	155280	97%
Objective of the	Iso-XFEM	1659	1590	4.2%
Solution (Nmm)	BESO	1945	1770	9%
Number of members	Iso-XFEM	20	26	30%
	BESO	15	19	27%
Surface roughness	Iso-XFEM	0.037	0.019	49%
R _a of Z1 (mm)	BESO	0.62	0.42	32%
Surface roughness	Iso-XFEM	0.15	0.12	20%
R_a of Z2 (mm)	BESO	0.65	0.61	6%

Table 1. Comparison of the solutions in experiment 1 and experiment 2.

Table 2. comparison of the objective values and surface roughness of the solutions of the two approaches at the same time cost of 155 ks per 100 iterations.

Time cost = $155 \text{ ks/}(100 \text{ it})$	Iso-XFEM (Exp. I)	BESO (Exp. II)	Δ %
No. of elements	22000	32000	45%
Objective (Nmm)	1659	1770	6.7%
R _a of Z1 (mm)	0.037	0.422	1140%
R _a of Z2 (mm)	0.15	0.61	306%

It can be seen that by using a finer mesh in experiment 2, the time cost of both optimization approaches is increased and the objective values are decreased (table 1). Comparing the effect of mesh refinement on the optimization's time cost and the solutions' objective of the two approaches, one can see that the Iso-XFEM optimization approach is less dependent on the mesh refinement as it has lower percentages of difference of time cost and objective in the two experiments. Also the comparison shows that when a finer mesh is employed, the time cost of the Iso-XFEM optimization approach becomes closer to the BESO optimization approach. It can be seen that the time cost of BESO in experiment 2 is almost equal to the time cost of Iso-XFEM in experiment 1 (approximately 155 ks). Using the values obtained for this time cost, table 2 compares the objectives and surface roughness of Iso-XFEM and BESO solutions for the same solution time. From table 2 it can be seen that even employing lower number of elements for the Iso-XFEM approach, the objective is still lower than the BESO one, showing higher performance of the Iso-XFEM solutions are represented with more smooth boundaries than the BESO solutions.

Conclusions

In this paper, the Iso-XFEM approach is developed and considered for generating high resolution topology optimization solutions for real-life structures including a 3D industrial arm. It is shown that the combination of the X-FEM and isoline/isosurface boundary representation can be effectively used to generate efficient and smooth topologies from complex design domains. Although the use of X-FEM in structural optimization of continuum structures can increase the solution time, it is shown that this is offset by the benefit of being able to employ a

coarse mesh to generate a smooth topology. Therefore, not only are the structural performances of the final solutions higher than the solutions obtained from an evolutionary element-based method like BESO, the total time that is required to present a solution with clearly defined boundaries can be reduced.

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